

UNITED STATES OF AMERICA
before the
COMMODITY FUTURES TRADING COMMISSION

In the Matter of:

Whistleblower Award Application of Applicant

– Form WB-APP

CFTC Whistleblower Award
Determination No. 16-WB-05

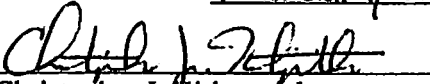
ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award application of Applicant (“Applicant”), submitted on Form WB-APP

Pursuant to the Commission’s Whistleblower Rules (17 C.F.R. Part 165 (2015)), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that the whistleblower award application be denied.

In accordance with 7 U.S.C. § 26(f) (2012) and 17 C.F.R. § 165.13 (2015), if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: February 23, 2016


Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581

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WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (“Commission”) is the whistleblower award application of Applicant (“Applicant”), submitted on WB-APP .

IT IS HEREBY DETERMINED that:

1. The Applicant failed to file a Form TCR which is required under Section 165.3 of the Commission’s Whistleblower Rules. *See* 17 C.F.R. § 165.3 (2015). The Applicant is therefore not a whistleblower.
2. Furthermore, the award application is denied because the information the Applicant provided did not lead to the successful enforcement of a covered judicial or administrative action or a related action, as required by Section 23(b)(1) of the Commodity Exchange Act (7 U.S.C. § 26(b)(1) (2012)) and Sections 165.2(i) and 165.5(a)(3) of the Commission’s Whistleblower Rules (17 C.F.R. §§ 165.2(i), 165.5(a)(3) (2015)). The Applicant’s information did not cause the Commission to commence an examination or investigation, reopen an investigation, or inquire concerning different conduct as part of an existing investigation.

Dated: February 19, 2016

Whistleblower Award Determination Panel
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, D.C. 20581